

# SWITCHING FORM

In accordance with the requirements of the Capital Markets and Services Act 2007, this form should not be circulated unless accompanied by the applicable Prospectus or Information Memorandum and any supplementals thereto (if any). You should read and understand the contents of the relevant Prospectus or Information Memorandum and any supplementals thereto (if any) and Product Highlights Sheet before completing this form.

## SECTION 1: INVESTOR DETAILS

Account No. : .....

Principal Holder / Company Name : .....

NRIC / Passport / Company Registration No. : .....

Joint Holder (if any) : ..... NRIC / Passport No. : .....

Contact Person : ..... Contact No. : .....

## SECTION 2: SWITCHING DETAILS

Note: If there is no clear indication whether the switch transaction should be in FULL or PARTIAL, the default instruction will be a FULL switch.

From:

Fund Name(s)	Amount (RM) / Units to be Switched
	<input type="checkbox"/> Full <input type="checkbox"/> Partial @ _____ Amount (RM) / Units

To:

Fund Name(s)	Switch (Amount (RM) / No. of Units)	Distribution Instruction
		<input type="checkbox"/> Reinvest <input type="checkbox"/> Pay-out
		<input type="checkbox"/> Reinvest <input type="checkbox"/> Pay-out
		<input type="checkbox"/> Reinvest <input type="checkbox"/> Pay-out

## SECTION 3: SOPHISTICATED INVESTOR DECLARATION (ONLY APPLICABLE TO WHOLESALE FUNDS)

I / We hereby declare that I am / we are Sophisticated Investor(s) as per my / our response in Appendix<sup>1</sup> and wish to invest into Wholesale Fund(s).

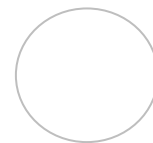
## SECTION 4: DECLARATIONS & SIGNATURE(S)

I / We declare that I / we:

- acknowledge receipt and have read and understood the contents of the relevant Prospectus(es) / Information Memorandum(s), its supplementals (if any) and Product Highlights Sheet(s) for the Fund(s) to be invested in prior to completing this form, the Terms and Conditions of this Switching Form and I / we undertake to be bound by them for my / our initial and subsequent transactions with Opus Asset Management Sdn Bhd ("OpusAM"). We understand that past performance of a fund is not indicative of future performance and that unit prices and income distribution, if any, may go down or up.
- undertake to be bound by the provisions of the documents constituting the Fund(s) subscribed to as if I was / we were a party thereto.
- acknowledge that I am / we are aware of the risks involved and the fees and charges that I / we will incur directly or indirectly when investing in the Fund(s).
- agree that all information relating to the initial investment as described in the submitted forms (Application Form and Suitability Assessment Form) remain unchanged and the Terms & Conditions of the original subscription form relating to the initial investment shall apply.
- my Unit Trust Consultant (UTC) has shown me his / her valid digital verification via the Federation of Investment Managers Malaysia's (FiMM) website at <https://www.fimm.com.my>
- have decided to invest in other OpusAM Fund(s) based on my / our own judgement / preference. I / We shall bear full responsibility for my / our investment decision and have understood the features and risks of the Fund(s) that I / we intend to invest in.
- I / We have understood that the definition of "short term" refers to a period of 1 to 3 years.  
[Applicable to investors who have been recommended or choose to invest into Opus Shariah Short Term Low Risk Asset Fund].

Principal Holder / Authorised Signatory(ies)  
Name:  
Designation:  
Date:

Joint Holder / Authorised Signatory(ies)  
Name:  
Designation:  
Date:



Please Affix Company Stamp

## SECTION 5: LICENSE HOLDER\* DECLARATION (FOR DISTRIBUTOR AND OPUSAM USE ONLY)

\* License Holder refers to CMSRL Holder / Unit Trust Consultant

- I have explained to the investor the features and risks of investing in the abovementioned Fund(s) provided by the necessary documentation as listed above.
- I have sufficiently explained to the investor that the definition of "short term" refers to a period of 1 to 3 years.  
[Applicable to Unit Trust Consultant who have recommended Opus Shariah Short Term Low Risk Asset Fund.]

OpusAM / Distributor License Holder's Signature:

Name:  
Company Name:  
FIMM / CMSRL No:  
Date:

FOR OFFICE USE ONLY

## SECTION 6: TERMS & CONDITIONS

1. The Terms & Conditions herein are not exhaustive and Opus Asset Management Sdn Bhd ("OpusAM") shall be entitled to add, vary or amend any or all of these Terms & Conditions herein at any time and from time-to-time at its sole and absolute discretion without prior reference to the unit holder(s). The unit holder(s) shall hereby agree to any such additions, deletions, variations or amendments to the Terms & Conditions. In the event of conflicting terms, the terms in the relevant offering documents shall prevail.

### 2. Unit Trust Loan Financing Risk Disclosure

Investing in a unit trust scheme with borrowed money is riskier than investing with your own savings. You should assess if loan financing is suitable for you in light of your objectives, attitude to risk and financial circumstances. You should be aware of the risks, which would include the following:

- I. The higher the margin of financing (that is, the amount of money you borrow for every Ringgit of your own money which you put in as deposit or down payment), the greater the loss as well as gain on your own investment.
- II. You should assess whether you have the ability to service the repayments on the proposed loan. If your loan is a variable rate loan, and if interest rates rise, your total repayment amount will be increased.
- III. If the unit prices fall beyond a certain level, you may be asked to provide additional acceptable collateral (where units are used as collateral) or pay additional amounts on top of your normal instalments. If you fail to comply within the time prescribed, your units may be sold towards the settlement of your loan.
- IV. Returns on unit trusts are not guaranteed and may not be earned evenly over time. This means that there may be some years where returns are high and other years where losses are experienced. Whether you eventually realise a gain or loss may be affected by the timing of sale of your units. The value of units may fall just when you want your money back even though the investment may have done well in the past.

This brief statement cannot disclose all the risks and other aspects of loan financing. You should therefore carefully study the terms and conditions before you decide to take a loan. If you are in doubt about any aspect of this risk disclosure statement or the terms of the loan financing, you should consult the institution offering the loan.

### 3. Switching of Units

If the switching is made to a new fund, the net amount must meet the minimum requirement of the new fund's initial investment amount. For partial switching, the Account Holder(s) must leave a minimum balance of units required to maintain the fund's account. In the event any request for partial switch results in the Account Holder(s) holding less than the minimum balance of units required, OpusAM reserves the right to fully switch all the units held by the Account Holder(s).

## APPENDIX<sup>1</sup> : CATEGORY OF INVESTOR (ONLY APPLICABLE TO SOPHISTICATED INVESTORS)

I / We hereby declare that I am / we are (please tick one option only):

Category	Investor	Tick
<b>High-Net-Worth Individuals</b>	An individual whose total net personal assets, or total net joint assets with his or her spouse, exceeding RM3 million or its equivalent in foreign currencies, excluding the value of the individual's primary residence.	
	An individual who has a gross annual income exceeding RM300,000 or its equivalent in foreign currencies in the preceding 12 months.	
	An individual who jointly with his or her spouse, has a gross annual income exceeding RM400,000 or its equivalent in foreign currencies in the preceding 12 months.	
	An individual whose total net personal investment portfolio or total net joint investment portfolio with his or her spouse, in any capital market products exceeding RM1 million or its equivalent in foreign currencies.	
<b>High-Net-Worth Entities</b>	A company that is registered as a trust company under the Trust Companies Act 1949 and has assets under its management exceeding RM10 million or its equivalent in foreign currencies.	
	A corporation that is a public company under the Companies Act 2016 which is approved by the Securities Commission Malaysia to be a trustee under the Capital Markets and Services Act 2007 and has assets under its management, exceeding RM10 million or its equivalent in foreign currencies.	
	A corporation that is carrying on the regulated activity of fund management solely for the benefit of its related corporations and has assets under its management exceeding RM10 million or its equivalent in foreign currencies.	
	A corporation with total net assets exceeding RM10 million or its equivalent in foreign currencies based on the last audited accounts.	
	A partnership with total net assets exceeding RM10 million or its equivalent in foreign currencies.	
	A statutory body established under any laws unless otherwise determined by the Securities Commission Malaysia.	
	A pension fund approved by the Director General of Inland Revenue under the Income Tax Act 1967.	
<b>Accredited Investors</b>	(a) A unit trust scheme, private retirement scheme or prescribed investment scheme.	
	(b) Bank Negara Malaysia.	
	(c) A licensed person or a registered person.	
	(d) An exchange holding company, a stock exchange, a derivatives exchange, an approved clearing house, a central depository or a recognised market operator.	
	(e) A corporation that is licensed, registered or approved to carry on any regulated activity or capital market services by an authority in Labuan or outside Malaysia which exercises functions corresponding to the functions of the Securities Commission Malaysia.	
	(f) A bank licensee or an insurance licensee as defined under the Labuan Financial Services and Securities Act 2010.	
	(g) An Islamic bank licensee or a takaful licensee as defined under the Labuan Islamic Financial Services and Securities Act 2010.	
	(h) A chief executive officer or a director of any person referred to in (c), (d), (e), (f), and (g) above.	
	(i) A closed-end fund approved by the Securities Commission Malaysia.	